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PTO/SB/30 (08/00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provided for continued examination of a utility or plant application filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/866,288
Filing Date	May 25, 2001
First Named Inventor	Mamoru Ueda
Group Art Unit	2621
Examiner Name	Helen Shibru
Attorney Docket Number	450100-03378

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

## 1. Submission required under C.F.R. § 1.114.

### a. ☐ Previously submitted

- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on  
(Any unentered amendment(s) referred to above will be entered)
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_

### b. ☒ Enclosed

- i. ☒ Preliminary Amendment
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

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## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103© for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(l) required)
- b. ☐ Other \_\_\_\_\_

## 3. Fees

The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

### a. ☒ Checks in the amounts of \$790.00 and \$1,020.00 are enclosed for the following:

- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☒ Extension of time fee (37 C.F.R. §§ 1.17)
- iii. ☒ This is a Petition for a three-month extension of time. A check for \$1,020.00 is enclosed.

### b. ☒ The Director is authorized to charge any additional fees, or credit any overpayments, to Deposit Account No. 50-0320.

### c. ☐ Payment by credit card (Form PTO-2038 enclosed)

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Paul A. Levy	Registration No. (Attorney/Agent)	45,748
Signature		Date	December 13, 2006

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United State Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: Mail Stop RCE, Commissioner For Patents, P. O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print/Type)	Barnet Shindler
Signature	
Date	December 13, 2006

"Express Mail" mailing label number: EV641130528US



450100-03378

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Mamoru Ueda  
Serial No. : 09/866,288  
Filed : May 25, 2001  
For : METHOD AND APPARATUS FOR DECODING AND RECORDING MEDIUM  
Examiner : Helen Shibru  
Art Unit : 2621

Mail Stop RCE  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith is an amendment in the above-identified application.  
No additional fee is required.

X The fee has been calculated as shown below.

— This is an application of a small entity under 37 CFR 1.9(f), and the amounts shown in parentheses apply.

## Claims as Amended

(1)	(2) Claims remaining after amendment	(3)	(4) Highest number previously paid for	(5) Present extra	(6) Rate	(7) Additional fee
Total claims	24	Minus	28 =	0 ×	\$50(25)	= \$0.00
Independent claims	4	Minus	12 =	0 ×	\$200(100)	= 0.00
				Total additional fee for this amendment		\$0.00

\* If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.  
\*\* If the highest number of total claims previously paid for is less than 20, write "20" in this space.  
\*\*\* If the highest number of independent claims previously paid for is less than 3, write "3" in this space.

— This application contains a multiple dependent claim. The required fee of \$300 (\$150) has been previously paid \_\_, or is paid herewith \_\_.

X This response is being filed within the \_\_ first month, \_\_ second month, X third month, \_\_ fourth month following the expiration of the term originally set therefor, and the fee of \_\_ \$120 (\$60), \_\_ \$450 (\$225), X \$1,020 (\$510), \_\_ \$1,590 (\$795) for the requisite extension is due and X paid herewith.

X A check in the amount of \$1,020.00 is attached for the extension of time.

— Charge \$\_\_ to Deposit Account No. 50-0320.

X Please charge any additional fees incurred by reason of this response or credit any overpayment to Deposit Account No. 50-0320.

## EXPRESS MAIL

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